

VIA eFILE
Form PTO-1449

Applicant: Michael T. Carley, et al.
Serial No.: 10/787,073
Filing Date: 02/24/2004
For: CLOSURE DEVICE

Sheet 1 of 17
Confirmation No.: 9513
Att'y Docket No.: 16497.1.1.2.1
Art Unit: ~~5731~~
3773

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Document Number	Date	Name
/MT/	1	12/01/1992	Corrigan, Jr. et al.
—	2	5,243,857	02/28/1995 Velez
—	3	5,246,156	09/21/1993 Rothfuss et al.
—	4	5,392,978	02/28/1995 Valez et al.
—	5	5,413,584	05/09/1995 Schulze
—	6	5,423,857	06/13/1995 Rosenman et al.
—	7	5,676,974	10/14/1997 Valdes et al.
—	8	5,810,776	09/22/1998 Bacich et al.
—	9	5,993,468	11/30/1999 Rygaard
—	10	6,030,364	02/29/2000 Durgin et al.
—	11	6,428,548	08/06/2002 Durgin et al.
↓	12	6,645,205	11/11/2003 Ginn

Examiner: /Melanie Tyson/

Date Considered: 02/14/2008

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	13	6,669,714	12/30/2003	Coleman et al.
—	14	6,749,621	06/15/2004	Pantages et al.
—	15	6,942,674	09/13/2005	Belef et al.
—	16	7,001,398	02/21/2006	Carley et al.
—	17	7,108,709	09/19/2006	Cummins
—	18	7,111,768	09/26/2006	Cummins et al.
—	19	7,163,551	01/16/2007	Anthony et al.
—	20	7,211,101	05/01/2007	Carley et al.
—	21	2001/0031972	10/18/2001	Robertson et al.
—	22	2002/0133193	09/19/2002	Ginn et al.
—	23	2003/0195561	10/16/2003	Carley et al.
—	24	2004/0009289	01/15/2004	Carley et al.
—	25	2004/0073236	04/15/2004	Carley et al.
↓	26	2004/0073255	04/15/2004	Ginn et al.

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	27	2004/0153122	08/05/2004	Palermo
—	28	2004/0153123	08/05/2004	Palermo et al.
—	29	2004/0167570	08/26/2004	Pantages
—	30	2005/0119695	06/02/2005	Carley et al.
—	31	2005/0216057	09/29/2005	Coleman et al.
—	32	2005/0267530	12/01/2005	Cummins et al.
—	33	2005/0273136	12/08/2005	Belef et al.
—	34	2005/0274768	12/15/2005	Cummins et al.
—	35	2006/0020270	01/26/2006	Jabba et al.
—	36	2006/0144479	07/06/2006	Carley et al.
—	37	2006/0167484	07/27/2006	Carley et al.
—	38	2006/0190014	08/24/2006	Ginn et al.
—	39	2006/0190037	08/24/2006	Carley et al.
↓	40	2006/0190038	08/24/2006	Carley et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	41	2006/0195123	08/31/2006	Ginn et al.
	42	2006/0195124	08/31/2006	Ginn et al.
	43	2006/0287674	12/21/2006	Ginn et al.
↓	44	2007/0010854	01/11/2007	Cummins et al.

U.S. Patent Applications

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication/Filed Date</u>	
/MT/	45	09/866,551	05/25/2001
	46	11/396,141	03/31/2006
	47	11/675,462	02/15/2007
↓	48	11/744,089	05/03/2007

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Foreign Patent Documents

Examiner <u>Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
/MT/ 49	CA 2 339 060	02/17/2000	Canada
— 50	NL 9302140	07/03/1995	Netherlands
— 51	S 2000/0722	10/04/2001	Ireland
— 52	S 2000/0724	10/04/2001	Ireland
— 53	S 2001/0547	07/10/2002	Ireland
— 54	S 2001/0748	08/28/2002	Ireland
— 55	S 2001/0749	08/28/2002	Ireland
— 56	S 2001/0815	07/10/2002	Ireland
— 57	S 2002/0451	07/03/2003	Ireland
— 58	S 2002/0452	12/30/2002	Ireland
— 59	S 2002/0552	07/18/2003	Ireland
— 60	S 2002/0664	02/19/2003	Ireland
↓ 61	S 2002/0665	02/19/2003	Ireland

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Publication Date</u>	Country or <u>Patent Office</u>
/MT/			
62	S 2003/0424	12/10/2003	Ireland
63	S 2003/0490	01/14/2004	Ireland
64	S 2004/0368	11/30/2005	Ireland
65	S 2005/0342	11/30/2005	Ireland
66	WO 98/06448	02/19/1998	PCT
67	WO 02/19915	03/14/2002	PCT
68	WO 02/19920	03/14/2002	PCT
69	WO 02/19922	03/14/2002	PCT
70	WO 02/45594	06/13/2002	PCT
71	WO 02/98302	12/12/2002	PCT
72	WO 03/101310	12/11/2003	PCT
73	WO 03/13363	02/20/2003	PCT
74	WO 03/13364	02/20/2003	PCT
75	WO 03/47434	06/12/2003	PCT

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Examiner <u>Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
/MT/ 76	WO 03/71955	09/04/2003	PCT
77	WO 03/71956	09/04/2003	PCT
78	WO 03/71957	01/15/2004	PCT
79	WO 04/04578	01/15/2004	PCT
80	WO 04/60169	07/22/2004	PCT
81	WO 04/69054	08/19/2004	PCT
82	WO 05/115521	12/08/2005	PCT
83	WO 05/82256	09/09/2005	PCT
84	WO 06/83889	08/10/2006	PCT

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Issue Notifications, Notices of Allowance, and Office Actions

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
/MT/	85	Office Action	08/27/2004
	86	Office Action	02/23/2005
	87	Office Action	04/11/2005
	88	Office Action	07/27/2005
	89	Office Action	03/06/2006
	90	Office Action	05/24/2006
	91	Office Action	10/26/2006
	92	Office Action	04/19/2007
	93	Office Action	11/04/2004
	94	Office Action	05/04/2005
	95	Office Action	10/18/2005
	96	Notice of Allowance	04/18/2007
✓	97	Notice of Allowance	09/27/2007

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/MT/ 98	2003/0078598	Office Action	02/09/2005
99	2003/0078598	Office Action	05/26/2005
100	2003/0078598	Office Action	10/04/2005
101	2003/0078598	Notice of Allowance	05/10/2006
102	2003/0078598	Notice of Allowance	07/02/2007
103	2003/0195561	Office Action	06/10/2004
104	2003/0195561	Notice of Allowance	09/21/2004
105	2003/0195561	Office Action	01/03/2006
106	2003/0195561	Issue Notification	02/15/2006
107	2003/0195561	Office Action	05/16/2006
108	2003/0195561	Notice of Allowance	12/28/2006
109	2003/0195561	Notice of Allowance	07/10/2007
110	2003/0195561	Notice of Allowance	08/02/2007
111	2004/0153123	Office Action	09/22/2006

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
/MT/	112	Office Action	01/31/2007
—	113	Office Action	09/18/2007
—	114	Office Action	11/30/2005
—	115	Office Action	08/23/2006
—	116	Office Action	02/13/2007
—	117	Office Action	09/12/2007
—	118	Office Action	09/15/2006
—	119	Office Action	04/18/2007
—	120	Office Action	09/19/2006
—	121	Office Action	05/02/2007
—	122	Office Action	06/30/2006
—	123	Office Action	10/20/2006
—	124	Office Action	05/29/2007
↓	125	Office Action	10/19/2006

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>	
/MT/	126	2005/0274768	Office Action	08/10/2007
	127	2005/0216057	Office Action	02/06/2007
	128	2005/0216057	Office Action	05/30/2007
	129	2006/0195124	Office Action	06/06/2007
	130	2006/0195123	Office Action	05/14/2007
	131	2005/0234508	Office Action	08/13/2007
	132	2004/0167570	Office Action	10/30/2006
	133	2004/0167570	Office Action	04/17/2007
	134	2004/0167570	Office Action	08/31/2007
	135	6,277,140	Office Action	03/26/2001
	136	6,277,140	Notice of Allowance	06/04/2001
	137	6,277,140	Issue Notification	08/06/2001
	138	6,197,042	Notice of Allowance	11/06/2000
↓	139	6,197,042	Issue Notification	02/15/2001

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
/MT/	140	Notice of Allowance	03/26/2001
	141	Office Action	09/05/2001
	142	Notice of Allowance	02/11/2002
	143	Issue Notification	05/03/2002
	144	Notice of Allowance	05/06/2002
	145	Issue Notification	09/19/2002
	146	Notice of Allowance	01/31/2003
	147	Issue Notification	06/05/2003
	148	Office Action	12/17/2002
	149	Notice of Allowance	04/21/2003
	150	Issue Notification	08/21/2003
	151	Notice of Allowance	04/11/2003
	152	Office Action	06/09/2003
↓	153	Issue Notification	09/04/2003

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>	
/MT/	154	6,632,238	Office Action	02/26/2003
	155	6,632,238	Notice of Allowance	06/16/2003
	156	6,632,238	Issue Notification	09/25/2003
	157	6,669,714	Office Action	03/04/2003
	158	6,669,714	Notice of Allowance	07/28/2003
	159	6,669,714	Issue Notification	12/11/2003
	160	6,695,867	Notice of Allowance	09/29/2003
	161	6,695,867	Issue Notification	02/05/2004
	162	6,719,777	Office Action	02/20/1987
	163	6,719,777	Notice of Allowance	07/24/1987
	164	6,719,777	Issue Notification	03/25/2004
	165	6,749,621	Notice of Allowance	02/09/2004
	166	6,749,621	Office Action	04/13/2004
↓	167	6,749,621	Issue Notification	05/27/2004

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>	
/MT/	168	6,780,197	Office Action	09/11/2003
	169	6,780,197	Office Action	02/09/2004
	170	6,780,197	Notice of Allowance	03/17/2004
	171	6,780,197	Issue Notification	08/05/2004
	172	6,926,731	Office Action	11/16/2004
	173	6,926,731	Notice of Allowance	04/06/2005
	174	6,926,731	Issue Notification	07/20/2005
	175	6,942,674	Office Action	09/29/2004
	176	6,942,674	Notice of Allowance	05/13/2005
	177	6,942,674	Issue Notification	08/24/2005
	178	7,001,398	Office Action	03/22/2005
	179	7,001,398	Notice of Allowance	07/06/2005
	180	7,001,398	Notice of Allowance	10/05/2005
↓	181	7,001,398	Issue Notification	02/21/2006

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/MT/	182	7,008,435	Office Action	04/20/2005
	183	7,008,435	Office Action	08/10/2005
	184	7,008,435	Notice of Allowance	10/18/2005
	185	7,008,435	Issue Notification	02/15/2006
	186	7,108,709	Office Action	07/27/2004
	187	7,108,709	Office Action	12/17/2004
	188	7,108,709	Notice of Allowance	03/09/2005
	189	7,108,709	Office Action	08/11/2006
	190	7,108,709	Issue Notification	08/30/2006
	191	7,111,768	Office Action	02/23/2006
	192	7,111,768	Notice of Allowance	05/31/2006
	193	7,111,768	Issue Notification	09/06/2006
	194	7,163,551	Office Action	01/10/2006
↓	195	7,163,551	Notice of Allowance	09/20/2006

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/MT/ 196	7,163,551	Issue Notification	12/27/2006
197	7,211,101	Office Action	08/10/2005
198	7,211,101	Office Action	12/19/2005
199	7,211,101	Office Action	04/21/2006
200	7,211,101	Notice of Allowance	12/27/2006
↓ 201	7,211,101	Issue Notification	04/11/2007

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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